



**2015
TOWN OF CARMEL
SUBJECT MATTER LIST
AND
OFFICE RETENTION SCHEDULE**

Adopted January 7, 2015

Table of Contents

ID Number	Department	Page
01	Supervisor's Office	3
02	Assessor's Office	6
03	Building Department	7
04	Town Clerk's Office	8
05	Comptroller's Office	11
06	Engineering Department	13
07	Highway Department	14
08	Justice Court	Attachment "A"
09	Planning & Zoning Department	17
10	Police Department	18
11	Recreation & Parks Department	19
12	Tax Receiver's Office	21
1A	Accounting Department	22

Supervisor's Office - 01
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Dept. - Corres./ Resolutions-copy	5/19	0	2 ½ yrs	
Accounting-Banks, Credits/Debits, Payroll-copy	5/19	0	2 ½ yrs	
Assessor – Assessment Roll, Real Property Svcs.-copy	5/19	0	2 ½ yrs	
Bldg. – Violations Monthly Reports, Alarm Permits-copy	5/19 5/19	0	8 years 2 ½ yrs	
Town Clerk – Notice of Claims, Elections, Foil Requests, Permits/Licenses-copy	5/19	0	2 ½ yrs	
Town Clerk – Records Management	166/581a	Permanent	Permanent	
Comptroller –Annual Reports, Audits, Budget, Capital Projects, State Revolving Fund, GFOA, User Fees, Vendor List, Voucher Run-copy	5/19	0	2 ½ yrs	
Counsel-Corres., Firms/Cases	3/10b	6 yrs.	6 yrs	
Engineering-Capital Projects, Contractors, Garbage Dist, MS4, GIS/IT	166/581	Permanent	2 ½ yrs	Permanent
Highway-Capital Projects, Driveway Permits, NYCOMCO, Easements, Road Dedications, Improvements, CHIPS, Resurfacing, Traffic Issues/Controls-copy	5/19	0	4 yrs	
Justice Court – Security Needs and Assessment -copy	5/19	0	2 ½ yrs	
Rec of Taxes – Daily/Monthly Report -copy	5/19	0	2 ½ yrs	
Recreation-Accident Reports, Grants, Monthly Report, Advisory Committee, Master Plan, Ball fields, Dog Park, Roller Hockey, Senior Drop-In, Skate Park, Theatre-copy	5/19	0	2 ½ yrs	
Towns – Corres. -routine	10c	0	2 yrs	
Town Boards – Corres. -routine	10c	0	2 yrs.	

Ambulance Corres	166/581b	6 yrs	2 yrs.	4 yrs.
Fire Districts – Corres., Additions/Deletions, Svc Award Program	166/581b	6 yrs	2 yrs	4 yrs.
Community Svcs. – publications	11b	0	2 yrs.	
Libraries –publications	11b	0	2 yrs.	
Schools – Corres-routine	10c	0	2 yrs	
Sports Associations – Corres.-routine	10c	0	2 yrs.	
Archctectural Review, Environmental Conservations, Planning and Zoning Boards-Corres, Agendas, Minutes-copy	5/19	0	2 yrs.	
Planning – Projects-copy	5/19	0	On-going projects	
Sunshine Law-Corres.-routine	3/10b	6	4 yr.	
Putnam County Depts., NYC, NYS and US Governmental Corr-routine	3/10b	6	4 yrs	
A-Z Files	166/581	6 yrs	4 yrs	4
SBA Communications (Formerly Mobilite & Nextel Cell Towers – Corres.	166/581	6 yrs	8 yrs	
Sewer & Water Districts	166/581	Permanent	6 yrs	
Police – Corres., Grants, Reports, PBA, Grievances, Ethics-copy	5/19	0	3-5 yrs	
CSEA, IBT, Dept. Time Sheets, Monthly Reports, Handbook-copy	5/19	0	2 yrs.	
Town Agendas/Minutes-copy	5/19	0	2 yrs.	
Lake Districts, Parks – Corres.-routine	10c	0	2 yrs.	
Cable – Corres.-routine	10c	0	2 yrs.	
Cable-publications	11b	0	0	

Town Historian/Historical Society-Corres.-routine	10c	0	6 yrs.	
Projects Ex. – Master Plan, Carmel Landfill, Airport Property, Bikeway, Carmel/Mahopac Revitalization-copy	5/19	0	6 yrs.	
V & T Laws and Amendments –copy	5/19	0	1 yr.	
Local Laws and Ordinances – copy	5/19	0	1 yr.	
FEMA – Corres.	2/10b	6	10 yrs.	
NYMIR, NYSWCA-Correspondence	2/10b	6 yrs	2 yrs.	4 yrs
Insurance Corres. Case records, etc	78/296	6	6	0
Chamber Park Pollution Plan 2002	85/326a	Permanent	0	Permanent
Chamber Park – 2001	85/326a	Permanent	0	Permanent
Purchasing – Proposals	71/561	6	0	0

Assessor's Office - 02
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Assessment Rolls – Final	MU-1 #594b	10 years	1 year	9 years
Assessment Rolls – Tentative	MU-1 #594a	5 years	1 year	4 years
Assessor's Report	MU-1 #592	10 years	5 years	5 years
Change of Assessment Notices And Summaries	MU-1 #10b	6 years	6 years	0
E-911 Address Change/Verification Correspondence	MU-1 #10b	6 years	6 years	0
Minutes of Board of Assessment Review	MU-1 #590	Permanent	0	Permanent
Notice of Determination of Board of Assessment Review	MU-1 #590	6 years	6 years	0
Property Assessment Cards	MU-1 #583	Permanent	Permanent	0
Purchase Orders (Duplicate Records)	MU-1 #19	0 after no longer needed	0 after no longer needed	0
Real Property Appraisals	MU-1 #584	4 years after superseded or obsolete	4 years after superseded or obsolete	0
Renewal Applications for Seniors and Disabled Persons Exemptions	MU-1 #589b	6 years	6 years	0
School Tax Relief (STAR) Program - Basic	MU-1 #589a	6 years after expiration of exemption -- Denied applications: 6 years after final determination	6 years after expiration of exemption -- Denied applications: 6 years after final determination	0
School Tax Relief (STAR) Program - Enhanced	MU-1 #589a	6 years after expiration of exemption -- Denied applications: 6 years after final determination	6 years after expiration of exemption -- Denied applications: 6 years after final determination	0
Tax Maps	MU-1 #587(a)	Permanent	Permanent	0
Veteran's Exemption Forms	MU-1 #589a	6 years after expiration	6 years after expiration	0
Volunteer Fire and Ambulance Worker Exemptions	MU-1 #589b Denied apps.: MU-1 #589c	6 years after expiration – Denied apps.: 6 years after final determination	6 years after expiration - Denied apps.: 6 years after final determination	0

Building Department - 03
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
B.P. Files	60	Permanent	Permanent	
B.P. and C.O. Card Files	58	Permanent	Permanent	
Fire and Safety Reports for Private Schools	59	6 yrs after building no longer exists	Permanent	
Subdivision Maps (Duplicate Copy)	19	0	Permanent	
B.P. and C.O. Logs	60	Permanent	Permanent	

Town Clerk's Office - 04
Subject Matter List
Office Retention Schedule

Abstract of Vouchers (duplicate copy)	19	0 yrs.	1 yr.	
Annual Financial Reports	284	Permanent	1 yr.	Permanent
Assessment / Tax Grievance File	45	6 yrs.	1 yrs.	6 yrs.
Bids (Capital Construction) Successful Unsuccessful	478a 478c	Permanent 6 yrs.	1 yr. 6 yrs.	Permanent
Bids (Materials, Services, Supplies) Successful & Unsuccessful	561	6 yrs.	6 yrs.	
Bingo / Games of Chance Application & copy of license	289a	3 yrs. after expirations	3 yrs. after expirations	
Bingo / Games of Chance Financial Reports	289b (Weekly, quarterly or daily) 289c (Annual)	4 yrs. 6 yrs.	4 yrs. 6 yrs.	
Birth / Death Certificates	N/A	Permanent	1 yr.	Permanent
Bonds		Permanent	Current	Permanent
Budget Preparation File Final Budget	51 54a	6 yrs. Permanent	Current Current	6 yrs. Permanent
Burial Transit Permits	67	Permanent	1 yr.	Permanent
Cash Receipts/Cash Transaction Record	249	6 yrs.	6 yrs.	
Certificates of Insurance	62	6 yrs.	6 yrs.	
Change of Zoning Records	649	Permanent	Current	Permanent
Claims against Town	45	6 yrs. after case closed	Current	Permanent*
Comprehensive Annual Financial Report (CAFR)	260	Permanent	10 Years	Permanent
Contracts	6	6 yrs.	6 yrs.	
Correction applications Birth/Death/Marriage certificates	N/A	Permanent	Current	Permanent
Correspondence	10a 10b 10c	Permanent 6 yrs. 0	Current Current Current	Permanent 6 yrs.
Dangerous Dog or other Animal Record	169	20 yrs or at least 6yrs after death of animal	20 yrs or at least 6yrs after death of animal	
DECALS Daily receipts for licenses.	319b	2 yrs.	2 yrs.	
DECALS monthly reports	319a	6 yrs.	6 yrs.	
Deeds/Easements granted to Town	475a	Permanent	Current	Permanent
Dog Licenses New & Renewals	163	3 yrs. after expirations	3 yrs. after expirations	

Dog Licensing Monthly Report	167a	6 yrs.	6 yrs.	
Dog Seizure Index	171a	3 yrs.	3 yrs.	
Dog Seizure Disposition Reports	171b	3 yrs.	3 yrs.	
Dog Tag Replacement Order	165	1 yr.	1 yr.	
Financial Disclosure Statements	367	7 yrs.	7 yrs.	
Fire Dept. Active List Annual Summary List Periodic List	519a 519b	55 yrs. 3 yrs.	Current Current	55 yrs. 3 yrs.
F.O.I.L. requests	409a,b,c	6 mos.	Current	2 yrs. *
Franchise Files	317a	6 years after franchise expires	Current	6 yrs. after franchise expires
Handicapped Parking Permit Applications	634a	3 yrs. after expiration of permit	3 yrs. after expiration of permit	
Index Log – Town Board minutes	25	0	Permanent*	
Insurance Policies	299	6 yrs. after expiration if no outstanding claims	Current	Permanent*
Local Laws and Ordinances	5	Permanent	Permanent	
Maps / Plans	479a	Permanent	Current	Permanent
Marriage Licenses	N/A	Permanent	1 yr.	Permanent
Master Plan	397	Permanent	Current	Permanent
Monthly Financial Reports	283	6 yrs.	6 yrs.	
Notice of Intent re: Liquor Licenses	318	2 yrs.	2 yrs.	
Notice of Proposed Zoning Change from neighboring towns	651	1 yr.	1 yr.	
Paternity Acknowledgments	N/A			
Permit / License Records	315a	3 yrs.	3 yrs.	
Petitions	29b	6 yrs.	6 yrs.	Permanent*
Proof of Publication/Posting Affidavit	8	6 yrs.	6 yrs.	
Purchase Orders (Duplicate copy)	19	0 yrs.	2 yrs. & current *	
Requests for Birth/Death/Marriage Certificate	VIT-07	6 yrs.	6yrs.	
Requests for Proposals	478a	Permanent	Current	Permanent
Retrieval Requests	41	0	Current	
Road Dedications	618a	Permanent	Current	Permanent
Subdivision/Site Plans (Planning Bd.)	401a	Permanent	Current	Permanent
Subject Files	various			
Town Board Minutes Back-up Material	1 3	Permanent 1 yr.	1 yr.	3 yr. *

Town Board Work Session Packets (Duplicate Copy)	19	0 yrs.	2yrs.	
Various Board Minutes (ECB, PB, ZBA, ARB)	1	Permanent	1yr.	Permanent
Video Recordings Board Meetings	2a	4 mos.	Current	15 years *
Water / Sewer District Files	223a / 881a	Permanent	Current projects	Permanent
Wetland Applications (ECB)	242	Permanent	Current	Permanent
Workers Comp. Case Records	297a	18 years	Current	17 yrs in TC vault
Zoning Board of Appeals Applications	650	Permanent	Current	Permanent

* Differs from NYS MU-1 Retention Schedule

Comptroller's Office - 05
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Vendor's Vouchers – General	275	6 years	2 years	4 years
Vendor's Vouchers – Utilities	275	6 years	2 years	4 years
General Ledger	245	6 years	2 years	4 years
Journal Entries	247	6 years	2 years	4 years
Trial Balance	254	6 years	2 years	4 years
Inter Department Billing Records	256	6 years	2 years	4 years
Budget Preparation Files	51	6 years	2 years	4 years
Budget Revisions	57	6 years	2 years	4 years
Budget Status Report	56	6 years	2 years	4 years
Subject Files	10	6 years	2 years	4 years
Summary Record of Warrants	278	6 years	2 years	4 years
Statement of Revenues and Expenditures	283	6 years	2 years	4 years
Actual & Estimated Revenues	283	6 years	2 years	4 years
Payroll Register Report	347	6 years	2 years	4 years
Copy of Canceled Checks	264	6 years	2 years	4 years
Bank Statements	263	6 years	2 years	4 years
Copy of Check & Stub	265	6 years	2 years	4 years
Bond Issue Preparation File	270	6 years after bond issue is retired	6 years	Balance
Bond Register	271	6 years after cancellation of last note/bond	6 years	Balance

Purchase Order Unpaid	559	6 years	2 years	4 years
Employee Time Sheets - Departmental	349	6 years	2 years	4 years

Engineering Department - 06
Subject Matter List
Office Retention Schedule

Contracted Municipal Project Maps	182/618a	Permanent	10 years	Permanent
Engineering Planning Review Case Files (Pre-approval and approved) Copies	5/19	0	10 years	0
Purchase Order Copies	5/19	0	0	0
Water and Sewer District Maintenance Files	53/223a	Permanent	10 years	Permanent
- Lab reports	53/223a	Permanent	1 year	Permanent
Carmel Water/Sewer District #2 Expansion - Reports	6/23a	Permanent	Until completion	Permanent
General Correspondence Files	2/10b	6 years	2 years	6 years
Capital Construction and Public Improvement Files	125/478a	Permanent	Current Projects	Permanent
Sewer and Water correspondence	53/223a	Permanent	10 years	Permanent
Property Acquisition or Regulation file	210	Permanent	10 years	Permanent
Environmental restoration projection records	866	Permanent	10 years	Permanent
Charts, graphs and similar records	227	Permanent	10 years	Permanent
Reports and Studies (Public Water Supply)	230	Permanent	10 years	Permanent
Reports and Studies (Wastewater Treatment)	876	Permanent	10 years	Permanent
Reports and Studies (Solid Waste)	881	Permanent	10 years	Permanent
Hazardous waste collection and disposal records	232	Permanent	10 years	Permanent

Highway Department - 07
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office/Attic Retain	Storage Retain
Payroll – Time Cards	366	6 Years	6 Year	
Payroll & OT Sheets	346 a	6 Years	6 Year	
Daily Attendance Log	349	6 Years	25 Years	
Personnel Files	364 b	6 Years after separation	Current	Permanent
Budget Analysis	56 b	1 Year	1 Year	
Purchase Order Log	567	6 Years	6 Years	
Budget/Appropriation Status Report	56 a	6 Years	6 Years	
Purchase Orders – Copy	19	0 Years	4 Years	
Vendor Claims – Copies	19	0 Year	4 Year	
Vendor Payments – Copy of Abstract	19	0 Years	2 Years	
Daily Crew Assignments	366	6 Years	6 Years	
Crew Chief Reports – General Repairs	613	6 Years	6 Years	
Capital Project Files – Copies	19	0 Years	20 Years	
Road Improvement Project Log – Copies	19	0 Years	20 Years	
Complaint File	623 a, b	6 Years after condition abated	6 Years after condition abated	
Subject Files – Correspondence (Letters, emails, memos, etc)	10 b	6 Years	6 Years	
Outgoing Correspondence (Letters, emails, memos. Etc.)	10 b	6 Years	6 Years	
Dedicated Roads Log – Copy	19	0 Years	6 Year	
Driveway Permit Files	628	6 Years	Permanent	
Driveway Permit Log	628	6 Years	Permanent	
Fuel Consumption Log	490	6 Years	6 Years	
Street Signs Log	625	6 Years after no longer exists	Permanent	
Vehicle Acquisition Files	488	6 Years after vehicle goes out of service	6 Years after vehicle goes out of service	
Vehicle/Equipment Inventory Log	484	6 Years after vehicle goes out of service	6 Years after vehicle goes out of service	
Vehicle Maintenance Forms	487 a	6 Years after vehicle goes out of service	6 Years after vehicle goes out of service	
Fire Extinguishers Maint. Log	487 d	6 Years after last entry	6 Years after last entry	

Underground Utilities Form	613	6 Years	6 Years	
Weather Reports	614	6 Years	6 Years	

Justice Court - 08
Subject Matter List
Office Retention Schedule

ATTACHMENT "A"
CRIMINAL RECORDS OF THE CRIMINAL COURT OF THE
CITY OF NEW YORK, CITY COURTS, DISTRICT COURTS AND
TOWN AND VILLAGE COURTS

Planning and Zoning Department - 09
 Subject Matter List
 Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Mandatory planning review case file (Planning Bd.)	401a	Permanent	Current	Permanent
Mandatory planning review case file (ZBA)	401a	Permanent	Current	Permanent
Mandatory planning review case file (ECB)	401a	Permanent	Current	Permanent
Mandatory planning review case file (ARB)	401c	6 Years	Current	6 Years
Minutes – Copies PB, ECB, ZBA, ARB)	19	O	Current	
Site Plans, Oversized Maps	401a	Permanent	Current	Permanent
Planning Bd / ZBA Card File	25	O	Permanent	

Police Department - 10
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Arrest Files	524	Permanent	Permanent	Permanent
Case Files	523	Permanent	Permanent	Permanent
Log Files	521	Permanent	6 Years	Permanent
Accident Reports	810	6 Years	6 Years	
Master Card File	521	Permanent	Permanent	Permanent
Blotter/ Event Reports	521	Permanent	Permanent	Permanent
Domestic Violence Records	820	4 Years when no case investigation	4 Years	
Copies of Payroll Information Sheets	19	0	6 Years	
Copies of Invoices / Purchase Orders	19	0	6 Years	
Communications Log	495	3 Years	6 Years	
Law Enforcement Reports, Studies or Data queries	529	0 After no longer Needed	6 Years	
Gas Consumption Log	490	6 Years	6 Years	
Sealed Arrest Files	524	Permanent	Permanent	
Breathalyzer / Radar Certifications	487d	6 Years after unit is no longer in use	6 Years	
PD Vehicle Files	488	6 Years after vehicle no longer in use	6 Years	
Traffic Tickets	544	2 Years after litigation completed	2 years after litigation completed	
Warrants	522a	5 Years after warrant executed or recalled	5 Years after warrant executed or recalled	
Current Police Personnel Files including Training and Awards	364b	6 Years after termination	Current Employees	
Retired Police Personnel including Training and Awards	364b	6 Years after Retirement	6 Years after Retirement	Permanent
PD Daily Sign-In Sheets	349	6 Years	6 Years	

Recreation and Parks Department -11
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
Accident/Incident Reports	MU-1 #841	3 years after child reaches age 18	3 years after Child reaches age 18	0
Applications for Summer Camp Operation	MU-1 #838	3 years after expiration	3 years after expiration	0
Attendance Sheets/Buddy Checklists/Daily Notes	MU-1 #569 (a)	6 years	6 years	0
Budget/User Fee schedule prep. Files	MU-1 #51	6 years	6 years	0
Payroll or related report	MU-1 #346	6 years when needed for audit or fiscal purposes, or 0 if not needed	6 years when needed for audit or fiscal purposes, or 0 if not needed	0
Dept. copies of purchase orders, vouchers, user fees, invoices, revenue	MU-1 #19	0 after no longer needed	0 after no longer needed	0
Division for Youth Files	MU-1 #13 (a)	Permanent	Permanent	0
Enrollment records for Red Cross classes	MU-1 #504c	7 years	7 years	0
ID card Applications	MU-1 #570 (a)	6 years	2 years	4 years
Parental Consent Records – Camp	MU-1 #571	3 yrs. after child turns 18	3 years	21 years
Parental Consent Records – Class/ Program	MU-1 #571	3 yrs. after child turns 18	3 years	21 years
Parental Consent Records – Swim	MU-1 #571	3 yrs. after child turns 18	3 years	21 years
Planning and Development Files	MU-1 #572	6 years	6 years	
Plans – camp, waterfront	MU-1 #24	Permanent	10 years	Permanent
Reports of inspection by Dept. of Health or other agency	MU-1 #839	21 years	21 years	0
Seasonal employee files	MU-1 #364	6 years after termination	6 years after termination	0
Special events correspondence files	MU-1 #573	6 years	6 years	0
Correspondence files	MU-1 #10a,b,c	0, 6 years or permanent	0, 6, years or permanent	0
Timesheets (temporary staff)	MU-1 #349	6 years	6 years	0

Warranties	MU-1 #563	6 years after Expiration	6 years after expiration	0
Senior Citizen Group Files	MU-1 #569	6 years	6 years	0
Cash Transaction Record (Petty Cash)	MU-1 #249	6years	6 years	0
Purchasing Files (bids, contracts, materials, etc.)	MU-1 #561	6 years after expiration, termination, or final payment	6 years after expiration, termination, or final payment	0
Athletic Program Records	MU-1 #574	6 years	6 years	0
Individual Camp Applications	MU-1 #836	6 yrs. after end if fee is charged 3 yrs. after end w/o fee charged	6 yrs. after end if fee is charged 3 yrs. after end w/o fee charged	0
Receipts (received or issued)	MU-1 #282	6 years	6 years	0

Tax Receiver's Office -12
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
General Fund subject files	66/256	6 years + current	6 years + current	N/A
Bank Statements	61/263	6 years + current	6 years + current	N/A
General Correspondence	2/10b	6 years + current	6 years + current	N/A
School tax collection files	173/595a	6 years + current	6 years + current	N/A
Town tax bills	173/595a	6 years + current	1 year + current	5 years
School tax bills	173/595a	6 years + current	2 years + current	4 years
Water bills	66/256b	6 years + current	1 year + current	5 years
Water district usage printouts	66/256b	6 years + current	6 years + current	N/A
Town Tax Roll	173/594c	Permanent	3 years + current Microfiche for earlier years is in Clerk's office	N/A
Warrants	173/594c	Permanent	Permanent	N/A
Water & Sewer monthly reports	19 (copies)	N/A	6 years + current	N/A
General Fund monthly reports	19 (copies)	N/A	6 years + current	N/A

Accounting Department - 1A
Subject Matter List
Office Retention Schedule

Records Series	Archives Schedule Item #	Retention Minimum	Office Retain	Storage Retain
941 Withholding report	360	6 year	1 year	5 years
Accounts receivable reports	256b	6 years	3 years	3 years
Alarm Records	793	6 years	6 years	0 years
Banking communications	263	6 years	1 year	5 years
Canceled Check (inc. payroll check)	264	6 years	1 year	5 years
Cash Transaction Record	249	6 years	1 year	5 years
Check stub or copy of check	265	6 years	1 year	5 years
Claim for benefits	372 (b)	1 year	1 year	
Claim for Payment	275	6 years	6 years	0 years
Claim payment reports (unemployment)	373 (c)	6 years	6 years	0 years
Copies of union labor contracts	19	0 years	0 years	0 years
Copy of payroll certification report	385	0 after superseded	1 year	
Declination statement	372 (d)	6 years after separation from service	6 years after separation from services	0 years
Deduction forms	353	5 years After superseded	5 years after superseded	
Deposit Slip	269	6 years	1 year	5 years
Depository Agreement	266	6 years	1 year	5 years
Direct Deposit Records	724	5 years after authorization expires	5 years after authorization expires	0 years
Driveway bond applications copy	19	0 years	0 years	0 years
Employee injury record	744	18 years after date of injury/illness	18 years after date of injury/illness	
Employee personal earnings record	355	6 years	1 year	5 years
Employee records for sick, vacation, personal, overtime	351 & 366	6 years	1 year	5 years
Employee time Sheets	349	6 years	6 years	0 years
Employee voluntary payroll deduction forms	353	5 years after authorization expires	5 years after authorization expires	0 years
Forms 1099, W-2, W-3	361	5 years	5 years	0 years

Health & Life insurance coverage reports	372 (c)	6 years	1 year	5 years
Health & Life insurance records (w/out dependent survivor)	372 (a)	3 years after termination	3 years after termination	0 years
Health insurance bills	256 (b)	6 years	1 year	5 years
Journal Records of Fiscal Transactions	247	6 years	1 year	5 years
Payroll or related report not covered by another specific item #	346a 346b	6 years 0	1 year 0	5 years 0
Payroll calculation details	345 (a)	55 years	current	54 years
Payroll registers	345 (c)	6 years	6 years	0 years
Payroll Report	359	6 years	1 year	5 years
Payroll-record of payment	347	6 years	1 year	5 years
Periodic fiscal reports	283	6 years	1 year	5 years
Personnel case file materials	364 (b)	6 years after termination	6 years after termination	0 years
Personnel Investigative records	365	3 years after final decision rendered	3 years after final decision rendered	0 years
Personnel Records	364 (a)	Permanent	permanent	
Proof sheets-water districts	254	6 years	current	5 years
Quarterly report of wages paid	357	6 years after year wages were reported	1 year after year wages were reported	5 years after year wages were reported
Receipt (received) or copy of receipt (issued)	282	6 years	1 year	5 years
Record of assignments, attachments & garnishments	352 (a)	6 years after terminations of employment	1 year	5 years after termination of employment
Record of Employee absences/accruals	350 (a)	6 years	1 year	5 years
Recreation fees	570 (a)	6 years	1 year	5 years
Retirement System & Benefit plan forms	725	6 years after termination	6 years after termination	0 years
Summary record of Warrants	278	6 years	Current year	5 years
Unemployment-claim disqualified	373 (b)	3 years after filing	3 years after filing	0 years
Unemployment insurance records-claim approved	373 (a)	6 years after final payment	6 years after final payment	0 years
Voucher bank statements	263	6 years	2 year	4 years
Water meter reading	256b	6 years	3 year	3 years

cards				
Withholding Exemption Certificate (W-4)	362	5 years after a superseding certificate is filed	5 years after authorization expires	0 years
Workers' Compensation Case Records	297	18 years	1 year	17 years

ATTACHMENT "A"
CRIMINAL RECORDS OF THE CRIMINAL COURT OF THE
CITY OF NEW YORK, CITY COURTS, DISTRICT COURTS AND
TOWN AND VILLAGE COURTS

RECORDS RETENTION AND DISPOSITION SCHEDULE



CRIMINAL RECORDS OF THE CRIMINAL COURT OF THE CITY OF NEW YORK, CITY COURTS, DISTRICT COURTS AND TOWN AND VILLAGE COURTS

**DIVISION OF COURT OPERATIONS
OFFICE OF RECORDS MANAGEMENT**

Rev. MAY 2009

**CRIMINAL RECORDS OF THE
CRIMINAL COURT OF THE
CITY OF NEW YORK,
CITY COURTS, DISTRICT COURTS
AND TOWN AND VILLAGE
COURTS**

PLEASE NOTE

A RECORDS DISPOSITION REQUEST FORM must be submitted and approved prior to the disposal of **any** records in accordance with the Records Retention and Disposition Schedules.

Records Disposition Request Forms can be obtained at:
http://www.nycourts.gov/admin/recordsmanagement/too_forms.shtml

Return all completed forms to:
N.Y.S. Office of Court Administration
Division of Court Operations
Office of Records Management
25 Beaver Street - Room 883
New York, NY 10004
TEL: 212- 428-2875
FAX: 212- 428-2880
E-mail: DISPOREQ@courts.state.ny.us

Please Note: This retention schedule is printed in a color format to facilitate identification of purgable records.

Color Codes for Record Series Numbers and Titles:

Red = Permanent Records

Green = Purgable Records

Orange = Both Permanent and Purgable Records

TABLE OF CONTENTS

I. CASE FILES

Criminal Misdemeanors	2
Felony Arraignments	3
Motor Vehicle/Traffic Infractions	4
Parking	5
Violations	6
Transferred Case Files	7

II. SUPPLEMENTAL CASE RECORDS

Bail Bonds	8
Bail Records	8
Court Reporter Notes and All Other Recordings of the Court Not Listed as a Separate Records Series Title	9
Court Reporter Transcripts	9
Defendant Criminal History Records ("Rap Sheets")	9
Drinking Drivers Program Certificates	9
DWI Test Stubs	10
Exhibits	10
Family Offense Orders of Protection; Temporary Orders of Protection	10
FBI Disposition Reports (Green Sheets)	11
Parking Violation Stubs	11
Police Arrest Reports	11
Search Warrants	12
Subpoenaed Records	12
Transcripts of All Recordings of the Court Not Listed as a Separate Records Series Title	13
UCS Domestic Violence Registry Information Sheet	13
Wiretap Orders and Recordings	13

III. CASE MANAGEMENT RECORDS

Adjourned in Contemplation of Dismissal Log Books	14
Adjournment Log Books	14
Arraignment Log Books	14
Arraignment Sheet Books	15
Arrest Log Books	15
Calendars	15
Caseload Activity Reports	16

III. CASE MANAGEMENT RECORDS (continued)

Court Reporter Index	16
Criminal Disposition Reports	16
Defendant Record Cards	16
Dismissal Log Books	17
Dispositional Cards	17
Felony Log Books	17
Fingerprints and Photographs	18
Indexes: Case Files	18
Inmate Correspondence	18
Log of Order Numbers	19
Mandatory Surcharge	19
Minute Books	19
Misdemeanor Log Books	20
Notice of Appeals Log Books	20
Outstanding Parking Violation Sheets	20
Police Department Cell Block and City Court Records	21
Prisoner Ledgers	21
Probation Books	21
Record of Court Activity	22
Return on Warrants Log Books	23
Scofflaw Summons Registers	23
Scofflaw Summons Registers - Short List	23
Search Warrant Log Books	24
Search Warrant Receipt Books	24
Statement Concerning Person Convicted	24
Summons Disposition Registers	25
Summons Registers - Alphabetic	25
Summons Registers - Complete	26
Summons Registers - Numeric Listings	26
TSLE & D Program: Report of Cases Pending for 60 Days	26
TSLE & D Program: Report of Disposed Cases	27
TSLE & D Program: Report of Pending Cases	27
TSLE & D Program: Report of Transferred Cases	27
Traffic Summons Receipts	27
Traffic Violations Receipt Books	28
Transfer Logs (including Transfer Cards)	28
Warrant Books	28
Warrant Recall Sheets	28
Youthful Offender Log Books	29

IV. JURY SYSTEM RECORDS

Attendance Records 30
Ballot Cards 30
Juror Summons 30
Local Criminal Court Master List 30
Panel Sheets 31

**NOTE: FISCAL RECORDS: see Records Retention Schedule for Administrative
Records - FISCAL RECORDS on the internet:**

http://www.courts.state.ny.us/admin/recordsmanagement/sch_admin_records.shtml

INDEX 32

NEW YORK STATE UNIFIED COURT SYSTEM

CRIMINAL RECORDS OF THE CRIMINAL COURT OF THE CITY OF NEW YORK, CITY COURTS, DISTRICT COURTS, AND TOWN AND VILLAGE COURTS

RECORDS RETENTION AND DISPOSITION SCHEDULE

The following retention periods refer to criminal case records created in 1920 and after in the Town, Village, City and District Courts outside New York City and the Criminal Court of the City of New York.

**ALL RECORDS DATING PRIOR TO 1920 ARE TO BE
RETAINED PERMANENTLY UNLESS OTHERWISE SPECIFIED;
RECORDS BEING RETAINED PERMANENTLY FOR
RESEARCH PURPOSES ONLY WILL BE EVALUATED
FOR ALTERNATIVE DISPOSITION ON AN INDIVIDUAL BASIS.**

CASE FILES

A case file contains all papers and documents relating to a case. Includes, but is not limited to, Accusatory Instrument, Supporting Depositions, Motions, Notices, Affidavits, Orders, Warrants, Fine and Surcharge Letters, Medical and Mental Health Reports, Probation Reports and Transcripts. Note that some courts currently store some of the documents listed above separately from the case file. These documents have been distinguished as separate record series in these schedules, but have the same retention requirements as the related case file. RETENTION IS CONTROLLED BY DISPOSITION CHARGE, NOT ARREST CHARGE.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80010.	CRIMINAL MISDEMEANORS	
	Misdemeanors defined in the Penal Law, Vehicle and Traffic Law and other statute.	<p>a. DISPOSED CASES</p> <p>Retain all case files prior to 1950 permanently for research purposes. Retain case files dated 1950 and later for twenty-five years from the date of disposition, then destroy, except for a sample to be retained permanently for research purposes.</p> <p>b. CASES TERMINATED IN FAVOR OF DEFENDANT</p> <p>Retain for six years, then destroy. Includes Criminal Misdemeanors that fall on a sample year.</p> <p>c. UNDISPOSED CASES</p> <p>Retain for fifty years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80020.	FELONY ARRAIGNMENTS	
	Arraignment in courts of limited jurisdiction upon a felony complaint.	<p>a. DISPOSED CASES</p> <ol style="list-style-type: none"> 1. Retain all case files prior to 1950 permanently. 2. If case is held for the grand jury, retain case files dated 1950 and later for one year from date of disposition, then destroy. 3. If case is disposed, retain case files dated 1950 and later for twenty-five years from date of disposition, then destroy, except for a sample to be retained permanently for research purposes. 4. If case is terminated in favor of the defendant, retain for six years, then destroy. <p>b. UNDISPOSED CASES</p> <p>Retain for seventy-five years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80030.	MOTOR VEHICLE/TRAFFIC INFRACTIONS Traffic infractions. Does not include traffic offenses which are misdemeanors or felonies.	<p>a. DISPOSED CASES</p> <ol style="list-style-type: none"> 1. Retain DWAI Cases for ten years from date of disposition, then destroy. 2. Retain all other infractions for six years from date of disposition, then destroy. <p>b. ADJUDICATED BUT NOT SATISFIED</p> <p>Retain for twenty years, then destroy.</p> <p>c. UNDISPOSED CASES</p> <p>Retain for twenty years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80040.	PARKING	<p>a. DISPOSED CASES</p> <p>Retain for six years from date of disposition, then destroy.</p> <p>b. ADJUDICATED BUT NOT SATISFIED</p> <p>Retain for twenty years, then destroy.</p> <p>c. UNDISPOSED CASES</p> <p>Retain for twenty years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80050.	VIOLATIONS Penal Law Violations and violations of local ordinances.	<p>a. DISPOSED CASES</p> <ol style="list-style-type: none"> 1. Retain for six years from date of disposition, then destroy, EXCEPT for cases which contain a Family Offense Order of Protection which are to be retained for twenty-five years, then destroy. 2. Retain <u>all</u> cases terminated in favor of the defendant for six years, then destroy. <p>b. ADJUDICATED BUT NOT SATISFIED</p> <p>Retain for twenty years, then destroy, EXCEPT for cases which contain a Family Offense Order of Protection which are to be retained for twenty-five years, then destroy.</p> <p>c. UNDISPOSED CASES</p> <p>Retain for twenty years, then destroy, EXCEPT for cases which contain a Family Offense Order of Protection which are to be retained for twenty-five years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80055.	TRANSFERRED CASE FILES Exact copies of files transferred to another court <u>for jurisdiction.</u>	Retain for one year, then destroy.

SUPPLEMENTAL CASE RECORDS

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80060.	BAIL BONDS Record of a defendant's posting of bail. Includes bail bonds undertakings and records of the insurance.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.
80070.	BAIL RECORDS (also known as BAIL ENVELOPES) Records indicating the status of a defendant's bail account. May be divided by cash, property or surety bail. Includes, but is not limited to, defendant name, judge, docket number, depositor, receipt number, date of posting, amount returned and balance, value of property and owner of property. May also include court copy of bail receipt.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80080.	COURT REPORTER NOTES and ALL OTHER RECORDINGS OF THE COURT NOT LISTED AS A SEPARATE RECORDS SERIES TITLE	
	Stenographic tapes, pads, audio tapes or other machine-readable systems which record the proceedings of the court.	<ul style="list-style-type: none"> a. Retain notes for Motor Vehicle/Traffic and Parking cases for two years, then destroy. b. Retain all other notes for ten years, then destroy.
80090.	COURT REPORTER TRANSCRIPTS replaced by SERIES # 80185, TRANSCRIPTS	
80100.	DEFENDANT CRIMINAL HISTORY RECORDS ("RAP SHEETS")	
	Arrest and case disposition records of defendants.	Retain until updated or until case is disposed, whichever occurs first, then destroy.
80110.	DRINKING DRIVERS PROGRAM CERTIFICATES	
	Court copies of certificates of successful or unsuccessful completion of the Drinking Drivers Program.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80120.	DWI TEST STUBS Court copies of documents used to administer breathalyzer tests. Includes operational check lists, result sheets and reports of refusal to submit to chemical tests.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.
80130.	EXHIBITS Exhibits presented as evidence in a case.	Return to party who introduced exhibit immediately after disposition unless otherwise directed by the court. If not claimed, retain for thirty days, then destroy provided express notice has been given.
80137.	FAMILY OFFENSE ORDERS OF PROTECTION; TEMPORARY ORDERS OF PROTECTION Orders of Protection issued pursuant to CPL§530.12.	Considered part of the case file. File in case file. Retain order and file for twenty-five years, then destroy EXCEPT for those cases to be retained permanently for research purposes.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80140.	FBI DISPOSITION REPORTS (GREEN SHEETS) Final disposition reports submitted with fingerprints to the FBI.	Report is no longer required. Destroy all copies immediately.
80150.	PARKING VIOLATION STUBS Police officer stubs from parking violation ticket books. Includes ticket number, violation, make and registration of car, date, time and location of violation and return date.	Return to issuing agency.
80160.	POLICE ARREST REPORTS, (also known as PRISONER DATA REPORTS and BLOTTERS) Court copy of the police department reports filed by the arresting officer, listing name and pedigree information on arrestee.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80170.	SEARCH WARRANTS File of warrants authorizing a search. Includes the original copy of the search warrant and a list of the items found, if any.	<ul style="list-style-type: none"> a. Search warrants associated with cases are considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file. b. For executed search warrants without associated cases, retain for five years, then destroy. c. If search warrant is not executed, retain for one year from date of issuance, then destroy.
80180.	SUBPOENAED RECORDS Records which have been subpoenaed by the court from an outside agency, organization, or individual.	Return to party who introduced record immediately after disposition unless otherwise directed by the Court. If record is not claimed, retain for thirty days, then destroy provided express notice has been given.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80185.	TRANSCRIPTS OF ALL RECORDINGS OF THE COURT NOT LISTED AS A SEPARATE RECORDS SERIES TITLE Transcripts of Court Reporter Notes and transcripts of all other recordings of the Court not listed as a separate records series title.	Considered part of the case file. File in case file. If maintained separately, retain for same length of time as case file.
80187.	UCS DOMESTIC VIOLENCE REGISTRY INFORMATION SHEET (also known as FAMILY PROTECTION REGISTRY INFORMATION SHEET and INFORMATION SHEET); ADDENDUM TO INFORMATION SHEET Forms attached to Order of Protection sent to the Family Protection Registry Center for entry into the UCS Domestic Violence Registry.	Retain for one year, then destroy.
80188.	WIRETAP ORDERS AND RECORDINGS Sealed order signed by a judge authorizing the use of a wiretap. Includes applications, supporting documents, and when ordered by the court, the audio recordings.	<ul style="list-style-type: none"> a. Retain audio recordings for ten years, then destroy, upon court order. b. Retain all other materials permanently for research purposes.

CASE MANAGEMENT RECORDS

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80190.	A D J O U R N E D I N C O N T E M P L A T I O N O F D I S M I S S A L L O G B O O K S	
	Log books recording date on which ACD is granted.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80200.	A D J O U R N M E N T L O G B O O K S	
	Log books listing dates to which cases are adjourned. Includes defendant name, charge, adjournment date and disposition.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80210.	A R R A I G N M E N T L O G B O O K S	
	Chronological daily logs of arraignments. Includes defendant name, charge, plea, adjourned date, disposition and bail status.	Retain for one year or until no longer needed, whichever is sooner, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80220.	ARRAIGNMENT SHEET BOOKS Administrative records containing arraignment information completed by the judge during arraignment proceedings. This information is duplicated in the case file or arraignment log book. Includes defendant name, address, race, charge, complainant, plea, bail, counsel and adjourned date.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80230.	ARREST LOG BOOKS Annual computer-generated records of all defendants arraigned by the court. Includes defendant name, date of arrest, NYSID number, court part and disposition.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80240.	CALENDARS Daily schedule of cases to be heard before the court. Includes, but is not limited to: defendant name, charge, docket number, date, judge and disposition.	Retain for one year or until no longer needed, whichever is sooner, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80250.	CASELOAD ACTIVITY REPORTS Court copies of caseload activity reports (UCS-175) that are filed with the Office of Court Administration.	File original with the Office of Court Administration. Retain court copy for one year, then destroy.
80260.	COURT REPORTER INDEX Index to court reporter notes. Includes defendant name and hearing dates. May also include disposition and name of defense counsel.	Retain for same length of time as SERIES# 80080, COURT REPORTER NOTES.
80270.	CRIMINAL DISPOSITION REPORTS Court copies of OCA-540A, OCA-540B and OCA-540C that are filed with the Office of Court Administration.	File original with the Office of Court Administration. Retain court copy for one year, then destroy.
80280.	DEFENDANT RECORD CARDS Alphabetical files containing defendant arraignment and conviction histories in the court.	Retain permanently for research purposes.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80290.	DISMISSAL LOG BOOKS Chronological or alphabetical logs of defendants whose charges were dismissed. Includes defendant name, docket number, charge, date of arrest and date of dismissal.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80300.	DISPOSITIONAL CARDS Alphabetical files, by defendant, which provide information on access to the status and disposition of a case. This information is duplicated in the case file or arraignment log book. Includes defendant name, charge, attorney, appearances, plea and disposition.	Retain for one year after disposition or until no longer needed, whichever is sooner, then destroy.
80310.	FELONY LOG BOOKS Chronological logs of defendants arraigned on felony charges. Includes defendant name, charge, bail, arraignment date, attorney, adjournment date, disposition and arrest date.	Retain for one year or until no longer needed, whichever is sooner, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80320.	FINGERPRINTS AND PHOTOGRAPHS Fingerprints and photographs which police departments have been unable to return to defendants whose cases have been dismissed.	Return to forwarding agency. If not returned, retain for one year or until no longer needed, whichever is sooner, then destroy.
80330.	INDEXES: CASE FILES Alphabetical files by defendant's name. Provides information on access to case records. Includes, but is not limited to, defendant name and case docket number.	Retain for same length of time as SERIES # 80420, RECORD OF COURT ACTIVITY.
80340.	INMATE CORRESPONDENCE Alphabetical file, by defendant, of correspondence with inmates regarding status of appeals, requests for new trials, motions and sealings.	Retain for three years, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80342.	<p>LOG OF ORDER NUMBERS (also known as UCS DOMESTIC VIOLENCE REGISTRY LOG OF ORDER NUMBERS)</p> <p>Form listing order numbers for Orders of Protection which are entered into the UCS Domestic Violence Registry.</p>	<p>Retain for one year, then destroy.</p>
80345.	<p>MANDATORY SURCHARGE</p> <p>Form created to capture information on imposition or waiver of surcharge.</p>	<p>Retain one copy for six years.</p> <p>Destroy all other copies immediately.</p>
80350.	<p>MINUTE BOOKS</p> <p>Chronological records of court proceedings maintained by the part clerk. Information includes part number, index number, date of trial, stenographer, defendant, judge, attorney, charges, appearance dates, jurors, witnesses, verdict and adjournment remarks.</p>	<p>a. Retain all Minute Books created prior to 1950 permanently for research purposes.</p> <p>b. Retain Minute Books created after 1949 for one year or until no longer needed, whichever is sooner, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80360.	MISDEMEANOR LOG BOOKS Chronological logs of defendants who were arraigned on misdemeanor charges. Includes docket number, arrest date, charge, bail, attorney, arraignment date, court appearances and disposition.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80370.	NOTICE OF APPEALS LOG BOOKS Chronological logs of defendants who have filed a notice of appeal. Includes defendant name, docket number and date of notice.	Retain for three years after date of last entry, then destroy.
80380.	OUTSTANDING PARKING VIOLATION SHEETS Computer-generated records of all outstanding parking violations for defendants who have been declared parking scofflaws.	Retain until updated copy is received, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80390.	POLICE DEPARTMENT CELL BLOCK AND CITY COURT RECORDS	
	Police department records of new arrests and detentions received daily by the court for use in intake proceedings.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80400.	PRISONER LEDGERS	
	Chronological records by hearing date, used in locating prisoners for arraignment appearances. Includes defendant name, docket number, charge and appearance history, if any.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80410.	PROBATION BOOKS	
	Chronological logs of defendants sentenced to probation. Includes defendant name, judge, period of probation, results and remarks.	Retain permanently for research purposes.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80420.	<p data-bbox="407 268 846 531">RECORD OF COURT ACTIVITY (including DOCKET BOOKS, DOCKET SHEETS, CONVICTION LOG BOOKS and any other record which serves as the principal record of case activities)</p> <p data-bbox="407 569 846 940">Manual or machine-readable record, containing a summary of actions in a case. Includes, but is not limited to: defendants' name, disposition, arraignment dates, charges, judges' name, adjournment dates, court reporters name and attorneys name.</p>	<p data-bbox="922 268 1427 596">a. <u>CRIMINAL MISDEMEANOR, FELONY ARRAIGNMENT, DWI, PENAL LAW VIOLATION, WOMEN'S COURT, DOMESTIC RELATIONS, FAMILY ASSAULT, YOUTH COURT, JUVENILE AND RECIPROCAL NON-SUPPORT CASE FILES</u> Retain records permanently for research purposes.</p> <p data-bbox="922 627 1427 856">b. <u>DISPOSED MOTOR VEHICLE INFRACTIONS, NON-PENAL LAW VIOLATIONS AND PARKING CASES WITHOUT ORDERS OF PROTECTION</u> Retain for six years, then destroy.</p> <p data-bbox="922 888 1427 1150">c. <u>DISPOSED MOTOR VEHICLE INFRACTIONS, NON-PENAL LAW VIOLATIONS AND PARKING CASES IN WHICH ORDERS OF PROTECTION HAVE BEEN ISSUED</u> Retain for twenty-five years, then destroy.</p> <p data-bbox="922 1182 1427 1476">d. <u>UNDISPOSED MOTOR VEHICLE INFRACTIONS, NON-PENAL LAW VIOLATIONS AND PARKING CASES</u> Retain for twenty years, then destroy, EXCEPT for Violations in which Orders of Protection were issued, retain for twenty-five years, then destroy.</p> <p data-bbox="922 1507 1427 1740">e. <u>DWAIs</u> Retain Record of Court Activity for disposed DWAs for ten years, then destroy. If DWAs are undisposed, retain Record of Court Activity for twenty years, then destroy.</p>

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80430.	RETURN ON WARRANTS LOG BOOKS Chronological log books of returned warrants. Includes defendant name, docket number, date of return and part in which warrant was vacated.	Retain for five years from date of last entry, then destroy.
80440.	SCOFFLAW SUMMONS REGISTERS Computer-generated alphabetical listings by defendant name of all summons scofflaws. Includes defendant name, address and number of outstanding summons.	Retain until updated report is received, then destroy.
80450.	SCOFFLAW SUMMONS REGISTERS - SHORT LIST Computer-generated alphabetical listings, by defendant name, of summons scofflaws. Includes name and number of outstanding summons.	Retain until updated report is received, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80460.	SEARCH WARRANT LOG BOOKS Numerical logs of all executed search warrants which have been returned to the court. Includes warrant number, search location, date of issuance, date of execution, date of return, date of inventory and executing officer.	Retain for five years from date of last entry, then destroy.
80470.	SEARCH WARRANT RECEIPT BOOKS Court copies of receipts issued to police officers upon the return of an executed warrant to the court.	Retain for five years from date of last entry, then destroy.
80480.	STATEMENT CONCERNING PERSON CONVICTED Chronological log of convictions. Includes defendant name, address, charge, occupation, age, marital status, place of birth, status of parents, sentence and judge name.	Retain permanently for research purposes.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80490.	SUMMONS DISPOSITION REGISTERS Computer-generated listings by docket number of all disposed summons issued weekly, quarterly and annually. Includes docket number, summons number, microfilm (retrieval) number, date disposed and disposition.	<ul style="list-style-type: none"> a. Retain weekly registers until cumulative quarterly register is issued, then destroy. b. Retain quarterly register until cumulative annual register is issued, then destroy. c. Retain annual register for six years, then destroy.
80500.	SUMMONS REGISTERS - ALPHABETIC Computer-generated alphabetical listings by defendant name. Issued weekly, quarterly and annually. Includes defendant name and docket number.	<ul style="list-style-type: none"> a. Retain weekly registers until cumulative quarterly register is issued, then destroy. b. Retain quarterly register until annual register is issued, then destroy. c. Retain annual register for twenty years, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80510.	SUMMONS REGISTERS - COMPLETE Annual, computer-generated reports of all summonses processed by the court. Includes summons number, docket number and disposition.	Retain for twenty years, then destroy.
80520.	SUMMONS REGISTERS - NUMERIC LISTINGS Computer-generated listings of all summonses that have been issued. Report is issued weekly, quarterly and annually. Cross reference summons number to the docket number.	<ul style="list-style-type: none"> a. Retain weekly register until cumulative quarterly register has been issued, then destroy. b. Retain quarterly register until cumulative annual register has been issued, then destroy. c. Retain annual register for twenty years, then destroy.
80530.	TSLE&D PROGRAM: REPORT OF CASES PENDING FOR 60 DAYS Monthly report of cases which have been pending for at least sixty days.	Retain until updated report is received, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80540.	TSLE&D PROGRAM: REPORT OF DISPOSED CASES	
	Monthly report of cases disposed.	Retain for six years, then destroy.
80550.	TSLE&D PROGRAM: REPORT OF PENDING CASES	
	Monthly report of cases that have been reported but have not yet been disposed.	Retain until updated report is received, then destroy.
80560.	TSLE&D PROGRAM: REPORT OF TRANSFERRED CASES	
	Monthly report of cases which have been transferred to another court.	Retain for six months or until no longer needed, whichever is sooner, then destroy.
80570.	TRAFFIC SUMMONS RECEIPTS	
	Court copies of transmittal forms accompanying traffic summons, delivered by the police department.	Retain for six years, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80580.	TRAFFIC VIOLATIONS RECEIPT BOOKS Court copies of receipts issued to police officers upon delivery of traffic summons to the court.	Retain for six years, then destroy.
80590.	TRANSFER LOGS (including TRANSFER CARDS) Chronological logs of cases which have been transferred into or out of a court's jurisdiction. Includes name and location of transfer jurisdiction and any actions taken by the courts.	Retain for one year or until no longer needed, whichever is sooner, then destroy.
80600.	WARRANT BOOKS Chronological logs of all warrants ordered by the court. Includes dates issued, defendant, charge and judge.	Retain for five years until all warrants have been returned or recalled, then destroy.
80610.	WARRANT RECALL SHEETS Court copy of the lists of warrants that have been recalled. Includes defendant name, charge, docket number and date of recall.	Retain for one year or until no longer needed, whichever is sooner, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80620.	YOUTHFUL OFFENDER LOG BOOKS Numerical log of youthful offenders by docket number. Includes defendant name, address, age and docket number.	Retain for one year or until no longer needed, whichever is sooner, then destroy.

JURY SYSTEM RECORDS

Records which document the selection, attendance, and utilization of jurors.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80630.	ATTENDANCE RECORDS Cards, logs or lists used to record juror attendance.	Retain for six years, then destroy.
80640.	BALLOT CARDS Juror identification cards used to select jurors for voir dire panels.	Retain until end of term, then destroy.
80650.	JUROR SUMMONS Documents used to notify prospective jurors that they have been summoned to serve.	a. If used to note attendance, retain for six years, then destroy. b. If not used to note attendance, retain for one year or until no longer needed, whichever is sooner, then destroy.
80660.	LOCAL CRIMINAL COURT MASTER LIST Annual list of persons eligible to serve on local criminal court juries.	Retain until updated copy has been received, then destroy.

<u>SERIES #</u>	<u>RECORD SERIES TITLE</u>	<u>RETENTION</u>
80670.	PANEL SHEETS Manually-drawn lists of jurors who have been summoned to a particular pool. Includes name, address, occupation, attendance and reasons for excuse. May also contain payroll information.	Retain permanently for research purposes.

INDEX

ADDENDUM TO INFORMATION SHEET	13
ADJOURNED IN CONTEMPLATION OF DISMISSAL LOG BOOKS	14
ADJOURNMENT LOG BOOKS	14
ARRAIGNMENT LOG BOOKS	14
ARRAIGNMENT SHEET BOOKS	15
ARREST LOG BOOKS	15
ATTENDANCE RECORDS (JURORS)	30
BAIL BONDS	8
BAIL ENVELOPES: see BAIL RECORDS	8
BAIL RECORDS	8
BALLOT CARDS	30
BLOTTERS: see POLICE ARREST REPORTS	11
CALENDARS	15
CASELOAD ACTIVITY REPORTS	16
CONVICTION LOG BOOKS: see RECORD OF COURT ACTIVITY	22
COURT REPORTER INDEX	16
COURT REPORTER NOTES AND ALL OTHER RECORDINGS OF THE COURT NOT LISTED AS A SEPARATE RECORDS SERIES TITLE	9
COURT REPORTER TRANSCRIPTS	9
CRIMINAL DISPOSITION REPORTS	16
CRIMINAL MISDEMEANORS	2
DEFENDANT CRIMINAL HISTORY RECORDS ("RAP SHEETS")	9
DEFENDANT RECORD CARDS	16
DISMISSAL LOG BOOKS	17
DISPOSITIONAL CARDS	17
DOCKET BOOKS: see RECORD OF COURT ACTIVITY	22
DOCKET SHEETS: see RECORD OF COURT ACTIVITY	22
DRINKING DRIVERS PROGRAM CERTIFICATES	9
DWI TEST STUBS	10
EXHIBITS	10
FAMILY OFFENSE ORDERS OF PROTECTION; TEMPORARY ORDERS OF PROTECTION	10
FAMILY PROTECTION REGISTRY INFORMATION SHEET: see UCS DOMESTIC VIOLENCE REGISTRY INFORMATION SHEET	13
FBI DISPOSITION REPORTS (GREEN SHEETS)	11
FELONY ARRAIGNMENTS	3
FELONY LOG BOOKS	17
FINGERPRINTS AND PHOTOGRAPHS	18
GREEN SHEETS: see FBI DISPOSITION REPORTS	11

INDEXES: CASE FILES	18
INFORMATION SHEET: see	
UCS DOMESTIC VIOLENCE REGISTRY INFORMATION SHEET	13
INMATE CORRESPONDENCE	18
JUROR SUMMONS	30
LOCAL CRIMINAL COURT MASTER LIST	30
LOG OF ORDER NUMBERS	19
MANDATORY SURCHARGE	19
MINUTE BOOKS	19
MISDEMEANOR LOG BOOKS	20
MOTOR VEHICLE/TRAFFIC INFRACTIONS	4
NOTICE OF APPEALS LOG BOOKS	20
OCA-540A: see CRIMINAL DISPOSITION REPORTS	16
OCA-540B: see CRIMINAL DISPOSITION REPORTS	16
OCA-540C: see CRIMINAL DISPOSITION REPORTS	16
OUTSTANDING PARKING VIOLATION SHEETS	20
PANEL SHEETS	31
PARKING	5
PARKING VIOLATION STUBS	11
POLICE ARREST REPORTS	11
POLICE DEPARTMENT CELL BLOCK AND CITY COURT RECORDS	21
PRISONER DATA REPORTS: see POLICE ARREST REPORTS	11
PRISONER LEDGERS	21
PROBATION BOOKS	21
RAP SHEETS: see DEFENDANT CRIMINAL HISTORY RECORDS	9
RECORD OF COURT ACTIVITY	22
RETURN ON WARRANTS LOG BOOK	23
SCOFFLAW SUMMONS REGISTERS	23
SCOFFLAW SUMMONS REGISTERS - SHORT LIST	23
SEARCH WARRANT LOG BOOKS	24
SEARCH WARRANT RECEIPT BOOKS	24
SEARCH WARRANTS	12
STATEMENT CONCERNING PERSON CONVICTED	24
SUBPOENAED RECORDS	12
SUMMONS DISPOSITION REGISTERS	25
SUMMONS REGISTERS - ALPHABETIC	25
SUMMONS REGISTERS - COMPLETE	26
SUMMONS REGISTERS - NUMERIC LISTINGS	26
TRAFFIC SUMMONS RECEIPTS	27
TRAFFIC VIOLATIONS RECEIPT BOOKS	28
TRANSCRIPTS OF ALL RECORDINGS OF THE COURT NOT LISTED AS A SEPARATE RECORDS SERIES TITLE	13

TRANSFER CARDS: see TRANSFER LOGS	28
TRANSFER LOGS	28
TRANSFERRED CASE FILES	7
TSLE & D PROGRAM: REPORT OF CASES PENDING FOR 60 DAYS	26
TSLE & D PROGRAM: REPORT OF DISPOSED CASES	27
TSLE & D PROGRAM: REPORT OF PENDING CASES	27
TSLE & D PROGRAM: REPORT OF TRANSFERRED CASES	27
UCS-175: see CASELOAD ACTIVITY REPORTS	16
UCS DOMESTIC VIOLENCE REGISTRY INFORMATION SHEET	13
UCS DOMESTIC VIOLENCE REGISTRY LOG OF ORDER NUMBERS see:	
LOG OF ORDER NUMBERS	19
VIOLATIONS	6
WARRANT BOOKS	28
WARRANT RECALL SHEETS	28
WIRETAP ORDERS AND RECORDINGS	13
YOUTHFUL OFFENDER LOG BOOKS	29